

## CERTIFICATION REGARDING LOBBYING

### Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### \* APPLICANT'S ORGANIZATION

Pennsylvania Commission on Crime and Delinquency (PCCD)

#### \* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

Prefix: Mr. \* First Name: Michael Middle Name:   
\* Last Name: Pennington Suffix:   
\* Title: Executive Director

\* SIGNATURE: Samantha Koch

\* DATE: 06/15/2020

## Application for Federal Assistance SF-424

\* 1. Type of Submission:

- ☐ Preapplication  
☒ Application  
☐ Changed/Corrected Application

\* 2. Type of Application:

- ☒ New  
☐ Continuation  
☐ Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

06/15/2020

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

### 8. APPLICANT INFORMATION:

\* a. Legal Name:

Pennsylvania Commission on Crime and Delinquency (PCCD)

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

23-6002831

\* c. Organizational DUNS:

7972816070000

### d. Address:

\* Street1:

3101 North Front Street

Street2:

\* City:

Harrisburg

County/Parish:

\* State:

PA: Pennsylvania

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

17110-1261

### e. Organizational Unit:

Department Name:

Division Name:

### f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

\* First Name:

Samantha

Middle Name:

\* Last Name:

Koch

Suffix:

Title:

Senior Project Manager

Organizational Affiliation:

Pennsylvania Commission on Crime and Delinquency

\* Telephone Number:

(b)(6)

Fax Number:

\* Email:

(b)(6)

## Application for Federal Assistance SF-424

### \* 9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

### \* 10. Name of Federal Agency:

Department of Homeland Security - FEMA

### 11. Catalog of Federal Domestic Assistance Number:

97.132

CFDA Title:

Financial Assistance for Targeted Violence and Terrorism Prevention

### \* 12. Funding Opportunity Number:

DHS-20-TTP-132-00-01

\* Title:

Fiscal Year (FY) 2020 Targeted Violence and Terrorism Prevention (TVTP) Program

### 13. Competition Identification Number:

Title:

### 14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

### \* 15. Descriptive Title of Applicant's Project:

Southwestern Pennsylvania Regional Threat Assessment Hub

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**

\* a. Applicant PA-10

\* b. Program/Project Mult.

Attach an additional list of Program/Project Congressional Districts if needed.

1234-Southwestern PA Regional Threat Asses

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date: 10/01/2020

\* b. End Date: 09/29/2022

**18. Estimated Funding (\$):**

* a. Federal	524,427.41
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	524,427.41

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix: Mr. \* First Name: Michael

Middle Name:

\* Last Name: Pennington

Suffix:

\* Title: Executive Director

\* Telephone Number: (b)(6) Fax Number:

\* Email: (b)(6)

\* Signature of Authorized Representative: Samantha Koch \* Date Signed: 06/15/2020

## COVER PAGE

1. **Name of Applicant:** Pennsylvania Commission on Crime and Delinquency
2. **Primary Location of Applicant:** Dauphin County
3. **Primary Locations of Activities:** Southwestern Pennsylvania Region (Allegheny, Armstrong, Beaver, Butler, Washington, and Westmoreland)
4. **Name of Application Track:** Local Prevention Framework (multiple Replication Track projects)
5. **Amount of Funds Requested:** \$524,547.41
6. **Project Abstract:** The Pennsylvania Commission on Crime and Delinquency (PCCD) is seeking federal funding to support a Local Prevention Framework project that would advance threat assessment and management practices as well as increase awareness and utilization of bystander trainings and hotlines within Southwestern Pennsylvania through a comprehensive pilot program. PCCD's proposal would enhance regional and statewide threat assessment and management capabilities by enhancing interagency coordination and information sharing and enabling the adoption of bystander behaviors that can help shorten the distance between concern and intervention, preventing violence and potentially saving lives.

## 1. NEEDS ASSESSMENT

**A. Background:** Targeted violence and terrorism present complex and evolving challenges for federal, state, and local leaders charged with protecting public safety. In particular, growing threats posed by domestic threat actors and homegrown violent extremists (HVEs) have raised new challenges across the nation, including in the Commonwealth of Pennsylvania. As noted in the U.S. Department of Homeland Security's *Strategic Framework for Countering Terrorism and Targeted Violence*, the nature of these incidents – often carried out by solo actors and with little apparent warning – presents significant challenges for traditional law enforcement investigation and disruption methods.<sup>1</sup> An analysis of mass attacks in public spaces in 2018 from the U.S. Secret Service National Threat Assessment Center (NTAC) found that incidents occurred in a variety of settings, including places of business, open spaces, schools, public transportation, and houses of worship. One of those incidents was the Tree of Life Synagogue shooting in Pittsburgh, Pa., which killed 11 individuals and injured six more in an attack motivated by anti-Semitic and anti-immigrant beliefs.<sup>2</sup>

Research indicates that while a single profile of attackers does not exist, there are similar patterns and warning signs prior to acts of targeted violence that indicate these attacks may be preventable if appropriate systems are established, such as threat assessment and management processes.<sup>3</sup> NTAC's analysis of mass attacks in public spaces in 2018 found that more than half of attackers were motivated by a grievance, and nearly all made threatening or disconcerting communications prior to their attacks.<sup>4</sup> Threat assessment is a strategy to prevent targeted violence and other safety concerns in settings ranging from workplaces to hospitals and health centers to educational institutions.<sup>5</sup> However, while threat assessment practices have demonstrated efficacy in preventing targeted violence, the entities that are often most vulnerable to these attacks also tend to have limited capacity and/or technical expertise available to implement these practices with fidelity.

**B. Efforts to Date:** Recognizing the value of threat assessment, multiple state-level task forces and councils in Pennsylvania focused on concerns ranging from school safety to gun violence have recommended the increased use of these practices.<sup>6</sup> In addition, recently enacted state legislation has mandated the use of multidisciplinary threat assessment teams within K-12 school entities<sup>7</sup> as well as established the *Safe2Say Something Program*, a youth violence prevention program and anonymous reporting hotline managed by the Pennsylvania Office of Attorney General.<sup>8</sup> The Commonwealth has also invested in security enhancement grants for nonprofit organizations that serve individuals, groups or institutions that have experienced single bias hate crime incidents.<sup>9</sup>

<sup>1</sup> *Strategic Framework for Countering Terrorism and Targeted Violence*, U.S. Department of Homeland Security, September 2019.

<sup>2</sup> *Mass Attacks in Public Spaces – 2018*, U.S. Secret Service, National Threat Assessment Center, July 2019.

<sup>3</sup> *Mass Attacks in Public Spaces – 2018*.

<sup>4</sup> *Mass Attacks in Public Spaces – 2018*.

<sup>5</sup> Dewey G. Cornell, *Overview of the Virginia Student Threat Assessment Guidelines (VSTAG)*, University of Virginia, June 18, 2018.

<sup>6</sup> *Pennsylvania School Safety Task Force Report*, Office of the Governor, Commonwealth of Pennsylvania, 2018; *Special Council on Gun Violence: Report of Findings, Recommendations & Action Steps*, PCCD, 2020.

<sup>7</sup> PA Public School Code, Article XIII-E (Threat Assessment).

<sup>8</sup> PA Public School Code, Article XIII-D (Safe2Say Program).

<sup>9</sup> "PCCD Announces \$5 Million in Nonprofit Security Grant Fund Program Awards," PCCD, March 11, 2020.



Local communities within the state have also adopted these evidence-based practices. Over the past several years, leading agencies and organizations within the greater ***Southwestern Region of Pennsylvania*** have established independent threat assessment and management programs tailored to prevent targeted violence within specific settings. These entities – including major health networks and agencies, educational institutions, as well as federal, state and local law enforcement agencies – collect copious amounts of information on a daily basis regarding specific threats facing their organizations and/or communities. And while these efforts have led to the identification and mitigation of threats and incidents of targeted violence, the decentralized nature of the current structure means that threats identified by one entity may not be known by other relevant actors within the region – with potentially deadly consequences.

As the Commonwealth’s justice planning and policymaking agency, the ***Pennsylvania Commission on Crime and Delinquency (PCCD)*** is committed to collaborating with federal, state, and local partners to increase safety of communities through implementation of evidence-based practices, including ***multidisciplinary threat assessment teams, bystander training, and hotlines***. Based on planning discussions with key stakeholders within the Southwest Region, PCCD believes these critical gaps could be addressed by developing a ***multidisciplinary regional partnership, or “hub,”*** focused on ***linking existing targeted violence prevention and threat assessment initiatives*** and enhancing the region’s capabilities to prevent targeted violence. In addition to ***formalizing information sharing protocols***, the partnership would also facilitate ***professional development and training opportunities*** for participating organizations and key stakeholders to increase collective understanding of threat assessment and management practices, bystander intervention, and awareness of hotlines/tip-lines. These efforts would be bolstered by local partners, a full-time ***Regional Hub Manager*** (to be hired using federal funds), ***subject matter experts (SMEs)***, as well as a state-level ***Senior Advisory Team*** comprised of leadership from the ***Governor’s Office of Homeland Security, Pennsylvania State Police (PSP), Office of Attorney General,*** and ***PCCD***, among others.

***C. Target Populations:*** The proposed ***Southwestern Pennsylvania Regional Threat Assessment Hub*** would focus on serving populations within a ***six-county region (Allegheny, Armstrong, Beaver, Butler, Washington, and Westmoreland)*** that could be “early detectors” of individuals at risk for violent extremism and perpetrating acts of targeted violence, including family and household members, friends and neighbors, school staff and students, supervisors and coworkers, mental health professionals, law enforcement and justice practitioners, and other community members. The regional hub will focus on building partnerships with organizations that serve large portions of the Southwestern Region, including major employers, educational institutions, healthcare networks, and law enforcement agencies. Professional development and training activities supported through federal funding will also focus on delivery to clinical and law enforcement professionals in threat assessment within the six-county region. These efforts will collectively increase the safety and security of systems that serve nearly ***2.2 million residents*** who call the Southwestern Region their home.<sup>10</sup> (Note: A summary of key characteristics of the six counties comprising this region is below.)

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<sup>10</sup> *QuickFacts*, U.S. Census Bureau, population estimates as of July 1, 2019.

County Name	Population Size	Median Household Income	K-12 School Enrollment (2018-19)	# of Employers	Top 3 Employer Industries (4Q 2019) <sup>11</sup>
Allegheny	1,216,045	\$58,383	145,836	33,762	Restaurants; Hospitals; K-12 schools
Armstrong	64,735	\$49,032	9,182	1,304	K-12 schools; Restaurants; Hospitals
Beaver	163,929	\$55,828	32,256	3,407	Utility system construction; K-12 schools; Restaurants
Butler	187,853	\$68,472	23,700	4,904	Restaurants; Management; K-12 schools
Washington	206,865	\$61,567	26,891	5,205	Restaurants; K-12 schools; Individual/family services
Westmoreland	348,899	\$58,866	45,779	8,593	Restaurants; K-12 schools; Individual/family services
<b>Region Total</b>	<b>2,188,326</b>	<b>\$58,691</b>	<b>283,644</b>	<b>57,175</b>	

## 2. PROGRAM DESIGN

**A. Problem Statement:** PCCD, in collaboration with state and local partners, is requesting **\$524,547.41** in federal funds to support a *pilot project to develop a Southwestern Pennsylvania Regional Threat Assessment Hub* in six counties (Allegheny, Armstrong, Beaver, Butler, Washington, and Westmoreland). The Regional Hub will include leadership and representation from federal, state, and local law enforcement agencies, healthcare organizations, education institutions, and other key stakeholders. The Hub model will serve to connect and enhance already established, but currently siloed, threat assessment and management initiatives in place within the region. The project will also increase availability of bystander trainings and will enhance awareness of tip-lines (*Safe2Say Something* and *See Something, Send Something*).

Pennsylvania's proposed activities fall under ***Objective 3 of the TVTP Grant Program*** and include three models identified by the U.S. Department of Homeland Security (DHS): **1) Threat Assessment and Management Team; 2) Bystander Training; and 3) Hotlines**. Federal funding will support the development of necessary policies, memoranda of understanding (MOUs), information sharing protocols, and other activities. The Regional Hub model will support needs identified by PCCD's partners, including cross-training, improved coordination and communication among state and local partners, and capacity building for Regional Hub members. The Regional Hub will focus early outreach on priority stakeholders, including smaller police departments (who often lack capacity and resources necessary for comprehensive threat management programs), educational institutions, healthcare providers, and employer/workforce partners. Funding would also support subcontracts to provide participating hub partners with access to SMEs who can lend their threat assessment expertise to support technical assistance, training, and consultations. In addition, the grant would facilitate professional development and knowledge-sharing opportunities for Pennsylvania's project leaders with colleagues from across the country and globe through participation in annual conferences hosted by the Association of Threat Assessment Professionals (ATAP). Finally, funding will support costs associated with hiring a full-time Regional Coordinator position responsible for organizing regular hub meetings, regional trainings, and other events.

**B. Program Goals, Objectives & Activities:** As noted previously, Pennsylvania's proposed activities align with ***Objective 3 of the TVTP Program: "Develop prevention frameworks with***

<sup>11</sup> *Pennsylvania Top 50 Employers & Industries*, Pennsylvania Department of Labor and Industry, <https://www.workstats.dli.pa.gov/Products/Top50/Pages/default.aspx>



*state and local partners to enhance their ability to identify and respond to individuals at risk of mobilizing to violence.”* By developing a Regional Threat Assessment Hub that builds on the success of existing prevention frameworks, bystander training, and reporting tools, PCCD’s proposed initiative will help prevent targeted violence in schools, workplaces, and communities.

**GOAL 1: Expand use of evidence-based threat assessment and management practices in more settings and communities within the Southwest Region.**

**Objective 1.A.: Enhance threat assessment capabilities across key sectors in Southwestern Pennsylvania by establishing a first-of-its-kind Regional Threat Assessment Hub.** While informal mechanisms are already in place within the Southwestern Pennsylvania region, stakeholders identified a need to formalize information sharing and collaboration mechanisms to improve threat assessment capabilities within specific institutions as well as across the region. To address this need, PCCD and partners will establish monthly multiagency meetings to facilitate high-level information sharing, training, and planning among Regional Hub members and other key stakeholders. Federal funding will support the coordination and consulting costs necessary for the development of institution- and Hub-specific policies, memoranda of understanding (MOUs), information sharing protocols, and other activities.

**Objective 1.B.: Improve coordination between federal, state, and local partners to provide enhanced, timely information sharing across currently siloed systems.** While regional partners are critical, stakeholders identified a need to engage leaders at the state and federal levels to improve access to information as well as training, technical assistance, and other expertise. To provide ongoing and adaptive mission guidance for the project, PCCD will establish a multidisciplinary **Senior Advisory Team** comprised of leadership state and local partners. State-level representation will include the Governor’s Office of Homeland Security, PSP, Office of Attorney General, and PCCD. These partners will provide technical assistance and other supports to Regional Hub members and other key stakeholders as needed, with activities aligned to programs and activities already in place, such as the **Pennsylvania Criminal Intelligence Center (PaCIC)**, **Safe2Say Something Program**, **See Something/Send Something**, and other initiatives aimed at gathering, analyzing, and acting on information relevant to potential incidents.

**Objective 1.C.: Support monitoring and intervention for high-risk cases as needed.** In addition to high-level information sharing, training, and planning activities, the Regional Hub will also facilitate ad hoc collaborative meetings for monitoring and intervention for high-risk cases. Federal funding will support costs related to convening necessary **SMEs** who can provide insights and recommendations for consideration by Regional Hub members experiencing acute challenges. Federal funding will support hiring of a full-time **Regional Hub Manager**, responsible for coordinating requests and referrals for technical support from contracted **SMEs** regarding high-risk cases. The Regional Hub Manager will be responsible for collecting and reporting aggregate outcomes from these individualized consultations, as described below.

**GOAL 2: Build capacity of community members to recognize and report potential threats to prevent targeted violence and increase awareness and utilization of available hotlines.**

**Objective 2.A.: Provide education and training to key stakeholders in the Southwest Region regarding the recognition and reporting of potential threats to prevent targeted violence,**

*including bystander trainings.* In addition to formalizing information sharing protocols, regional partners identified a need to engage more stakeholders within Southwestern Pennsylvania to increase the number of potential threats that are identified and reported. To meet this need, the Regional Hub Manager will work with SMEs and Hub members to identify training needs and develop educational resources tailored to the region's stakeholders, building on initiatives that are already in place. Training delivery will focus on what "leakages" look like (i.e., specific statements, actions, expressions of grievances and threats of violence), among other core concepts and competencies. State agency partners at PSP and the Office of Attorney General will also provide annual regional trainings (two total) for stakeholders on existing statewide hotline resources (PSP's *See Something, Send Something* platform and the Office of Attorney General's *Safe2Say Something* Program) regarding intake and referral protocols that address crises with callers to assess whether there are risk factors for targeted violence. Likewise, industry experts within non-governmental entities can provide training on subjects that reside within specific disciplines, such as UPMC's "CHAMPIONS" training which intends to identify and remediate threatening behaviors that occur within the healthcare environment. These education and training activities will also provide structured opportunities for strengthening partnerships and skill-building amongst Regional Hub members and stakeholders, including bystander trainings.

**GOAL 3: Increase access to high-quality, evidence-based training and professional development opportunities for professionals in threat management.**

**Objective 3.A.: Develop direct training and train-the-trainer programs for clinical and law enforcement professionals in threat management within the Southwest Region.** Building on efforts to increase awareness of threat assessment and management practices among community members (Goal 2, Objective 2.A.), the project will also enhance skills among clinical and law enforcement professionals within Southwestern Pennsylvania. In conjunction with regional partners and contracted SMEs, and in alignment with recommendations from the Governor's Special Council on Gun Violence, tiered trainings will be developed and shared with regional constituents in healthcare, law enforcement, education, and commercial business. Trainings will highlight essential elements of targeted violence prevention including identification and notification ("if you see something, say something,"), basic threat management for intra-organizational response, and advanced threat management for cross-agency response. Train-the-trainer models for identification and notification issues will be developed and offered broadly including, possibly, asynchronous or fully recorded content. Because of the problem-solving and case management approaches needed for more advanced trainings, these will be taught synchronously and in-person when possible. Efforts will be taken to develop cross-disciplinary trainings, allowing professionals from a variety of settings to learn together and to foster the necessary partnerships to promote effective collaboration to prevent targeted violence. Contracted SMEs in behavioral science, law enforcement, law, and related matters will be used to develop the trainings. Four regional trainings will take place each year (8 total).

**GOAL 4: Design and implement a data collection system that can be used by project partners and key stakeholders to determine outcomes of pilot program.**

**Objective 4.A.: Create a data collection plan and develop necessary tools to gather and analyze relevant performance measures, as required by DHS.** In order to evaluate the impact and effectiveness of the proposed pilot Regional Hub model, PCCD will work with partners to

develop and implement a data collection and reporting protocol that will be used to ensure the project is meeting expected outcomes, and to evaluate impact of the pilot program. Since 2002, PCCD has utilized its web-based Egrants system for all grant-related purposes, including performance reporting. It has an extensive library of performance measures that incorporates any federally prescribed measures, including those required by DHS for the TVTP Program.

***C. Logic Model:*** The goal of the proposed project is to enhance capacity within the Southwestern Pennsylvania region to identify and respond to individuals at risk of mobilizing to violence through improved information sharing, technical assistance, training, and other supports.

**Resources:** Regional Threat Assessment Hub; Senior Advisory Team; Regional Hub Manager; Project Director/SME; Project Coordinator

**Objectives, Outcomes & Evaluation:** Specific goals, objective, activities, and related needs are identified by goal and objective in ***B. Program Goals, Objectives & Activities*** (page 4). The following identifies specific outcomes and evaluation measures for each key objective.

***Objective 1.A.: Enhance threat assessment capabilities across key sectors in Southwestern Pennsylvania by establishing a first-of-its-kind Regional Threat Assessment Hub.***

- ***Objective 1.A. Outcomes:*** Increased coordination among multidisciplinary partners in the Southwestern Region through participation within the Regional Threat Assessment Hub. Enhanced collaboration and information sharing capabilities through coordinated planning, training, and other activities. Increased access to SMEs who can provide tailored advice, resources, and referrals to Hub members and project partners.
- ***Objective 1.A. Evaluation Measures:*** Number of MOUs in place; number of organizations/entities participating in Regional Threat Assessment Hub.

***Objective 1.B.: Improve coordination between federal, state, and local partners to provide enhanced, timely information sharing across currently siloed systems.***

- ***Objective 1.B. Outcomes:*** Increased levels of coordination among local, regional, and state partners. Increased awareness and utilization of state resources and programs.
- ***Objective 1.B. Evaluation Measures:*** Number of referrals to state resources and programs; number of Senior Advisory Team meetings over project period.

***Objective 1.C.: Support monitoring and intervention for high-risk cases as needed.***

- ***Objective 1.C. Outcomes:*** Enhanced supports for Hub members and other partners in identifying and addressing high-risk cases through improved access to SMEs. Improved case-level outcomes for high-risk cases.
- ***Objective 1.C. Evaluation Measures:*** Number of cases opened, broken down by ideology, targeted violence, and risk factor; number of referrals for outside services by service type (e.g., mental health, substance abuse, job skills, housing assistance); anonymized case status data (e.g., active, closed (by type), referred to law enforcement); and anonymized case studies illustrating impact of the threat management.

***Objective 2.A.: Provide education and training to key stakeholders in the Southwest Region regarding the recognition and reporting of potential threats to prevent targeted violence, including bystander trainings.***

- Objective 2.A. Outcomes: Increased awareness, knowledge, and skills among key stakeholders regarding how to identify and report potential threats to prevent targeted violence and terrorism. Increased levels of reporting through available hotlines.
- Objective 2.A. Evaluation Measures: Number of calls (by type of call); number of individuals referred to additional services; number of callers referred for threat assessment and management; number of calls referred to law enforcement; number of people who received training with aggregated demographic information; and evidence indicating whether awareness has been raised (via pre/post-tests with participants).

***Objective 3.A.: Develop direct training and train-the-trainer programs for clinical and law enforcement professionals in threat management within the Southwest Region.***

- Objective 3.A. Outcomes: Increased levels of knowledge among clinical and law enforcement professionals regarding threat assessment and management practices.
- Objective 3.A. Evaluation Measures: Number of people who received training with aggregated demographic information; evidence indicating whether awareness has been raised (via pre/post-tests with participants).

### **3. ORGANIZATION AND KEY PERSONNEL**

Established by state law in 1978, **PCCD** serves as the justice planning and policymaking agency for the Commonwealth of Pennsylvania. The agency's mission is to enhance the quality, coordination, and planning within the criminal and juvenile justice systems, to facilitate the delivery of services to victims of crime, and to increase the safety of communities. The agency has a strong track record of facilitating partnerships among federal, state, and local policymakers; fostering interagency coordination and cooperation; promoting the use of technology and information sharing to enhance operational effectiveness in criminal justice agencies; and granting federal and state funds to support best practices and innovation. PCCD will be lead applicant, fiscal agent and administrator of the grant-funded project. Supporting a multi-pronged, comprehensive regional approach to threat assessment and management aligned with multiple PCCD initiatives, including:

- Recommendations from the Special Council on Gun Violence related to increasing use of threat assessment and management practices to identify and mitigate risks in communities as well as supporting bystander intervention trainings and tip-lines;
- Developing evidence-based training and technical assistance for K-12 school entities to aid in implementing new requirements under Article XIII-E of the PA Public School Code related to multidisciplinary threat assessment teams;
- Implementing recommendations related to the Governor's School Safety Task Force, including use of threat assessment and bystander intervention programs, as well as supporting the work of PCCD's School Safety and Security Committee (SSSC); and
- Decades of supporting youth violence prevention initiatives, including evidence-based programs funded through PCCD's Violence and Delinquency Prevention Program (VDPP) and the *Pennsylvania Youth Survey (PAYS)*.

The **University of Pittsburgh Medical Center** is the largest non-governmental employer in Pennsylvania with 87,000 employees and a span of operations including 40 hospitals, 700 doctors' offices, and 3.5 million insured lives. Between employment, treatment, or health coverage, UPMC is likely to be in a position to care for or support persons of interest or targets



of violence. It has operational and subject matter expertise through the UPMC Systemwide Threat Assessment and Response Team is led by behavioral science, law enforcement and security, risk management, and human resources experts. The UPMC Western Psychiatric Hospital and University of Pittsburgh School of Medicine's Department of Psychiatry are able to provide expert consultation and care related to the assessment and care of people with mental illness or other psychiatric issues relating to being targets of violence or possible assailants.

The ***Governor's Office of Homeland Security*** coordinates homeland security activities by working with federal agencies, regional task forces, local governments and the private sector. Established by Executive Order (2012-03), the Office works to secure the Commonwealth against acts of terrorism by coordinating with private and public sector entities to prevent, protect and mitigate against acts of terrorism; developing and revising the Governor's Office of Homeland Security's strategic plan; identifying and reducing the vulnerabilities of critical infrastructure and key resources through a unified outreach campaign; and collaborating with the All-Hazards Fusion Center, the Pennsylvania Criminal Intelligence Center (PaCIC) and with other recognized regional fusion centers.

The ***Office of Attorney General*** enforces the law and defends the interests of the Commonwealth and its diverse citizens by ensuring fair and impartial administration of justice for all Pennsylvanians; advocating for fair and equitable treatment of all consumers; providing leadership in preventing and controlling crime committed against Pennsylvanians; and pursuing just punishment without fear or favor for those guilty of unlawful behavior. Among its many initiatives, the Office of Attorney General is responsible for managing Pennsylvania's *Safe2Say Something* Program, a youth violence prevention program and anonymous reporting hotline.

The ***PA State Police (PSP)*** promotes traffic safety, investigates crime and reduces criminal activity, and provides investigative assistance and support services to all law enforcement agencies within the Commonwealth. The PaCIC, housed within PSP's Bureau of Criminal Investigation, provides law enforcement agencies throughout the Commonwealth with a central point of contact for information needs. PaCIC analysts provide federal, state, and municipal law enforcement officers with access to intelligence information, investigative data, and public source information on a 24/7 basis. The mission of PaCIC is to support the decision-making process of law enforcement agencies through collating, analyzing, and disseminating intelligence and investigative information pertaining to criminal activity while ensuring the rights and privacy of citizens are not violated. In addition to PaCIC, the PSP Risk and Vulnerability Assessment Team (RVAT) provides in-depth security vulnerability assessments, along with recommendations to improve the security posture of facilities within the state at no cost.

The ***Governor's Office of Homeland Security, PSP, and Office of Attorney General*** will provide support and assistance to PCCD in implementation of the project, including serving on a statewide Senior Advisory Team and designing and providing technical assistance as needed. Staff from PSP and the Office of Attorney General will also provide annual regional trainings for regional stakeholders on existing statewide hotline resources. (*See Letters of Support attachments for additional information.*)

**Key Personnel.** Jack Rozel, MD, MSL, DFAPA serves as Medical Director of resolve Crisis Services at UPMC Western Psychiatric Hospital, Associate Professor of Psychiatry and Adjunct

Professor of Law at the University of Pittsburgh, and President of the American Association for Emergency Psychiatry. Dr. Rozel trains and consults with teams across UPMC and the country on projects related to violence and threat management, staff injury prevention, and firearm injury prevention. Dr. Rozel will serve as the **Project Director** and **Lead SME**, supporting design and implementation of the regional threat assessment and management hub in the Southwestern Pennsylvania. The Project Director/Lead SME will work closely with PCCD's Project Coordinator, Regional Hub Manager, as well as SMEs to oversee key project activities, including data collection and analysis. To support these efforts, Dr. Rozel will provide 20 percent of his time toward project activities.

A full-time **Regional Hub Manager** will be hired to manage the day-to-day activities of the project and ensure successful implementation. The Regional Hub Manager will be responsible for organizing Hub membership and related activities; identifying training needs and developing educational resources tailored to the region's stakeholders (in consultation with Project Director/SME); coordinating requests and referrals for technical support from contracted SMEs regarding high-risk cases; and collecting and reporting relevant program performance data and outcomes through PCCD's Egrants system. Four **SMEs** will also be contracted to support design and delivery of key project activities, including providing one-on-one consultations for Hub members, as needed.

To ensure coordination among the Project Director/SMEs, Regional Hub Manager, as well as state partners serving on the Senior Advisory Team, Samantha Koch, Senior Project Manager at PCCD, will serve as the **Project Coordinator**. The Project Coordinator will manage day-to-day operations of the initiative as well as ensuring the timely collection, analysis and reporting of data related to project implementation, including the **performance measures** required by DHS. The Project Coordinator will be supported by other PCCD staff as well as the Regional Hub Manager, Project Director/SME, and Senior Advisory Team members to ensure successful execution of the deliverables outlined in this proposal. Ms. Koch will provide 10 percent of her time toward these project activities. (*See Key Personnel attachments for more detail on professional backgrounds and capabilities.*) If funded, PCCD staff will ultimately be responsible for all data collection, reporting, and information sharing related to the project.

#### 4. SUSTAINABILITY

PCCD and project partners have designed the Regional Threat Assessment Hub model and related training and technical assistance activities to increase the likelihood of sustainability beyond the period of grant funding. For example, professional development designed for regional threat management professionals will be delivered using a train-the-trainer approach, recognized for its effectiveness in building a pool of qualified instructors who can disseminate knowledge and sustain evidence-based practices in their respective networks. Following the period of performance, PCCD and project partners will work to sustain the **Regional Threat Assessment Hub** and related activities through available resources, including providing in-kind support from PCCD staff and partners to continue implementation for at least one year beyond the grant project period of performance. In addition, by aligning project activities with initiatives and partnerships already emerging in the Southwest Region, PCCD and its partners have increased the likelihood that these efforts can continue well beyond that timeframe.



## 5. BUDGET DETAIL AND NARRATIVE

Budget Category	Federal Request
Personnel	\$28,193.10
Fringe Benefits	\$16,192.71
Travel	\$21,169.60
Supplies	\$10,000.00
Contractual	\$405,132.00
Other	\$15,200.00
<i>Total Direct Costs</i>	<i>\$495,887.41</i>
Indirect Costs	\$28,660.00
<b>TOTAL PROJECT COSTS</b>	<b>\$524,547.41</b>

- A. **Personnel:** PCCD is requesting \$28,193.10 over two years to support salary-related expenses for two staff for project-related activities, including 10% of time for **Project Coordinator** (Samantha Koch) and 5% for **Fiscal Staff** support (Gregory Harhigh). The Project Coordinator will manage day-to-day operations as well as ensuring the timely collection, analysis and reporting of data related to project implementation, including the program performance measures required by DHS. Fiscal Staff will ensure timely collection of fiscal-related performance measures from grant partners to PCCD for federal reporting purposes.
- B. **Fringe Benefits:** PCCD is requesting \$16,192.71 in Fringe Benefits for staff (Samantha Koch and Gregory Harhigh) based on time/effort detailed above. Additional detail regarding calculations of benefit rates is included in the enclosed Budget Worksheet.
- C. **Travel:** PCCD is requesting \$21,169.90 over two years to support travel-related expenses associated with key project activities. These include lodging, mileage, and meals for five regional training events within the Southwestern PA Region per year (10 total) for four individuals; lodging, mileage, and meals for three individuals from state agency project partners to participate in four Regional Hub meetings per year (eight total). Finally, the Travel budget includes lodging, airfare, and local travel related to participation for three individuals in the annual ATAP conference (locations TBD). Additional detail regarding calculations of travel-related expenses is available in the enclosed Budget Worksheet.
- D. **Supplies:** PCCD is requesting \$10,000.00 in Supplies to cover costs related to training materials and participant manuals for 10 trainings (500 participants total).
- E. **Contractual:** PCCD is requesting \$405,132.00 in federal funds to support contractual time for the **Project Director/Lead SME** (Dr. Jack Rozel) equivalent to 20% of annual time, a full-time **Regional Hub Manager** (TBD), and four **SMEs** (TBD) focused on training and technical assistance delivery for Hub members and regional stakeholders (25 days per SME per year). More information regarding calculations for Contractual expenses is available in the enclosed Budget Worksheet.
- F. **Other:** PCCD is requesting \$15,200.00 to support the cost of registration fees for three individuals to participate in the annual ATAP conference (\$700 per person; \$4,200 total) and to cover training venue site fees for the 10 regional trainings (\$1,100 per event).
- G. **Indirect Costs:** PCCD's proposed budget includes \$28,660.00 in Indirect Costs for the University of Pittsburgh's Medical Faculty Fringe Benefit Rate (23.60%) and Facilities and Administration (F&A) Costs (30.0%) based on the University's federal rate agreement. Additional detail regarding calculations is available in the enclosed Budget Worksheet.

# EMW-2020-GR-APP-00133

## Application Information

**Application Number:** EMW-2020-GR-APP-00133

**Funding Opportunity Name:** Fiscal Year (FY) 2020 Targeted Violence and Terrorism Prevention (TVTP) Program

**Funding Opportunity Number:** DHS-20-TTP-132-00-01

**Application Status:** Pending Review

## Applicant Information

**Legal Name:** Pennsylvania Commission on Crime and Delinquency

**Organization ID:** 21755

**Type:** State governments

**Division:**

**Department:**

**EIN:** (b)(6)

**EIN Shared With Organizations:**

**DUNS:** 797281607

**DUNS 4:**

**Congressional District:** Congressional District 10, PA

## Physical Address

**Address Line 1:** 3101 North Front Street

**Address Line 2:** [Grantee Organization > Physical Address > Address 2]

**City:** Harrisburg

**State:** Pennsylvania

**Province:**

**Zip:** 17110-[Grantee Organization > Physical Address > Zip 4]

**Country:** UNITED STATES

## Mailing Address

**Address Line 1:** 3101 North Front Street

**Address Line 2:** [Grantee Organization > Mailing Address > Address 2]

**City:** Harrisburg

**State:** Pennsylvania

**Province:**

**Zip:** 17110-[Grantee Organization > Mailing Address > Zip 4]

**Country:** UNITED STATES

## SF-424 Information

### Project Information

**Project Title:** Southwestern Pennsylvania Regional Threat Assessment Hub

**Program/Project Congressional Districts:** Congressional District 14, PA

Congressional District 15, PA

Congressional District 16, PA

Congressional District 17, PA

Congressional District 18, PA

**Proposed Start Date:** Thu Oct 01 00:00:00 GMT 2020

**Proposed End Date:** Thu Sep 29 00:00:00 GMT 2022

**Areas Affected by Project (Cities, Counties, States, etc.):** Allegheny County, Armstrong County, Beaver County, Butler County, Washington County, Westmoreland County

## Estimated Funding

Funding Source	Estimated Funding (\$)
Federal Funding	\$524547.41
Applicant Funding	\$0
State Funding	\$0
Local Funding	\$0
Other Funding	\$0
Program Income Funding	\$0
<b>Total Funding</b>	<b>\$524547.41</b>

**Is application subject to review by state under the Executive Order 12373 process?** Program is not covered by E.O. 12372.

**Is applicant delinquent on any federal debt?** false

## Contacts

Contact Name	Email	Primary Phone Number	Contact Types
Samantha Koch	(b)(6)		Authorized Official Primary Contact
Derin Myers			Secondary Contact
Michael Pennington			Signatory Authority

## SF-424A

### Budget Information for Non-Construction Programs

**Grant Program:** Targeted Violence and Terrorism Prevention Grant Program

**CFDA Number:** 97.132

Budget Object Class	Amount
Personnel	\$28193.1
Fringe Benefits	\$16192.71
Travel	\$21169.6
Equipment	\$0
Supplies	\$10000
Contractual	\$405132
Construction	\$0
Other	\$15200
Indirect Charges	\$28660
Non-Federal Resources	Amount
Applicant	\$0
State	\$0
Other	\$15200
Income	Amount
Program Income	\$0

**How are you requesting to use this Program Income?** [\$budget.programIncomeType]

**Direct Charges Explanation:**

**Indirect Charges explanation:**

## Forecasted Cash Needs (Optional)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
Federal	\$	\$	\$	\$
Non-Federal	\$	\$	\$	\$

## Future Funding Periods (Years) (Optional)

First	Second	Third	Fourth
\$	\$	\$	\$

Remarks:

**SF-424C**

## Budget Information for Construction Programs

## Assurances for Non-Construction Programs

Form not applicable? false

Signatory Authority Name: Samantha Koch

Signed Date: Wed Jun 17 00:00:00 GMT 2020

Signatory Authority Title: Executive Director, PCCD

## Certification Regarding Lobbying

Form not applicable? false

Signatory Authority Name: Samantha Koch

Signed Date: Wed Jun 17 00:00:00 GMT 2020

Signatory Authority Title: Executive Director, PCCD

## Disclosure of Lobbying Activities

Form not applicable? true

Signatory Authority Name: Michael Pennington

Signed Date:

Signatory Authority Title: